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(54) Title: ANTIMICROBIAL LUBRICANT INCLUDING FATTY ACID AND QUATERNARY AMMONIUM COMPOUND (57) Abstract Stable concentrated liquid and solid antimicrobial lubricating compositions can be formulated which include 5 to 40 wt% of a C ₆₋₂₄ fatty acid, 10 to 40 wt% of a quaternary ammonium salt, an amount of an alkaline source sufficient to increase the pH of the composition to at least 8, and optionally about 0.1 to 10 wt% of an amine. The balance of the liquid form of the composition constitutes water. The lubricating compositions are particularly useful on the load bearing surfaces of conveyor belts used in food preparation where a combination of effective lubricity and efficacious antimicrobial activity are necessary.		

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ANTIMICROBIAL LUBRICANT INCLUDING FATTY ACID
AND QUATERNARY AMMONIUM COMPOUND

5

Field of the Invention

10 The invention relates to lubricant compositions and more particularly to antimicrobial lubricant compositions adapted for use as a lubricating and antimicrobial compound on the load bearing surfaces of a chain driven conveyor system used in the packaging of foods.

15

Background of the Invention

Beverages and other comestibles are often processed and packaged on mechanized conveyor systems which are lubricated to reduce friction between the packaging and the
20 load bearing surface of the conveyor. The lubricants commonly used on the load bearing surfaces of these conveyor systems, such as those used in the food processing, beverage and the brewery industries, typically contain fatty acid soaps as the active lubricating
25 ingredient because of the superior lubricity provided by fatty acid soaps.

In addition to lubricants, conveyor systems used in the processing and packaging of comestibles are also commonly treated with an antimicrobial compound, particularly the
30 moving portions of the conveyor system likely to carry a residue of a food substance, such as the load bearing surface, in order to reduce the population of microorganisms, such as bacteria, yeast and mold, which tend to grow on the system and produce slime.
35 Unfortunately, those antimicrobial compounds found to be

particularly effective for controlling microbiological populations on a conveyor system are difficult to combine with fatty acid soaps because many of these antimicrobial compounds are deactivated by the anionic fatty acids. For example, cationic quaternary ammonium compounds, which are widely recognized for their antimicrobial activity, are not generally employed as an antimicrobial compound on conveyor systems because they tend to be deactivated by the anionic fatty acid soaps used as the lubricant on such systems. Furthermore, combinations of a quaternary ammonium compound and a fatty acid soap are not typically employed because quaternary ammonium salts and fatty acids are known to be generally physically incompatible. However, because of their effectiveness as an antimicrobial compound, quaternary ammonium salts have been employed in lubricating compositions which are fatty acid free.

Davis et al., U.S. Patent No. 4,289,636, disclose an aqueous lubricant useful in metal cutting fluids for assisting in the care and cleaning of ferrous and cupreous metal surfaces, which comprises a water soluble amide derived from the reaction of a primary alkylamine or a secondary alkylamine with a member selected from the group of succinic, tetrahydrophthalic or tetrahydrofuran tetracarboxylic acids. Davis et al. further disclose that the composition may also include a germicidal compound such as a quaternary compound including a C_{12-16} alkyl group.

Jansen, United States Patent No. 4,839,067 discloses a process for the maintenance of chain-type bottle conveyor belts which includes treating the conveyor belt with a lubricant composition containing a lubricating amount of a C_{12-18} primary fatty acid amine with periodic treatment of the conveyor belt with an antimicrobial composition, such

as an organic acid. However, such fatty acid free lubricant compositions have generally proven to be less effective for lubricating load bearing surface of a conveyor system as those which include a fatty acid.

5 While generally effective for controlling microbe populations, such fatty acid free lubricant compositions have generally proven to be less effective for lubricating the load bearing surface of a conveyor system than those which include a fatty acid.

10 Accordingly, while various attempts have been made to produce a microbiologically effective conveyor lubricating composition which provides both effective lubricity and effective microbiological action, such compositions have not generally been effective for providing both properties
15 and a substantial need still exists for a conveyor lubricant which provides a combination of superior lubricity and superior antimicrobial activity.

Summary of the Invention

20 The invention resides in a composition effective as both a lubricant and an antimicrobial compound and a method for the lubrication of the load bearing surfaces on a conveyor system using the antimicrobial lubricant composition. The antimicrobial lubricant composition may
25 be formed as a liquid or solid concentrate and includes (i) an effective lubricating amount of a C_{6-24} fatty or carboxylic acid having the formula $R^{10}COOH$ wherein R^{10} is a hydrophobic aliphatic group having from about 5 to about 23 carbon atoms, (ii) an effective antimicrobial amount of a
30 water soluble cationic quaternary ammonium antimicrobial compound having the formula $(R^1)(R^2)(R^3)(R^4)N^+X^-$ wherein R^1 , R^2 , R^3 , and R^4 are independently benzyl, C_{1-24} alkyl benzyl,

halo benzyl, C₁₋₂₄ alkyl, or C₁₋₄ hydroxyalkyl, and X⁻ represents an anion capable of imparting water solubility or dispersibility to the quaternary compound, and (iii) a major portion of water. The lubricant is preferably
5 formulated by combining a fatty acid mixture and a water soluble quaternary ammonium salt with the addition of water when the lubricant concentrate is to be in liquid form.

The preferred antimicrobial lubricant compositions of the invention combine, in an alkaline aqueous medium (pH
10 >8) (i) an effective lubricating amount of a C₆₋₂₄ fatty acid, (ii) an effective antimicrobial amount of a quaternary ammonium chloride, and (iii) an effective lubricating and/or antimicrobial enhancing amount of an amine. The further preferred formulations of the
15 antimicrobial lubricant compositions of the invention include, in an alkaline aqueous system containing an alkaline alkali metal salt, (i) an effective lubricating amount of a C₈₋₂₀ fatty acid, (ii) an effective antimicrobial amount of an alkyl dimethyl benzyl quaternary
20 ammonium chloride, (iii) an effective lubricating and/or antimicrobial enhancing amount of an antimicrobial amine, and (iv) a hardness sequestering agent. Any of these lubricant formulas can also include a hydroxy compound and/or a nonionic surfactant. The antimicrobial lubricant
25 formulations of the invention may also include those additives typically employed in such compositions including foam suppressants, viscosity control agents, dyes, etc.

The lubricant formulations of the invention have excellent antimicrobial, cleaning, and lubricity properties
30 and provide a significant improvement in reducing friction and increasing microbial kill efficacy in comparison to prior antimicrobial lubricants. The lubricant compositions

of the invention keep the load bearing surfaces of a conveyor system, including the conveyer chain surfaces, clean and lubricated while simultaneously reducing the population of micro-organisms on the conveyor system, including the chain drive surfaces, to a level effective for preventing slime growth on the system. The lubricant formulations of the invention successfully combine a fatty acid and a cationic quaternary compound, resulting in a composition having excellent lubricating properties, phase and chemical stability, and antimicrobial activity.

Detailed Description of the Invention

As utilized herein, including the Examples and Claims, the terms "sanitize" and "sanitizing" are used as defined by the Environmental Protection Agency in the publication "Pesticide Assessment Guidelines" at subdivision G: Product Performance 1982, §91-2(j)2. Accordingly, sanitization occurs only when at least a 5 log reduction is achieved in the number of test micro-organisms in comparison to a parallel control count.

The invention resides in an improved antimicrobial lubricant concentrate composition that can be formulated in liquid or solid form. The antimicrobial lubricant composition comprises (i) an effective lubricating amount of C₆₋₂₄ fatty carboxylic acid having the formula RCOOH wherein R is an aliphatic group, preferably alkyl, having from about 5 to about 23 carbon atoms; (ii) an effective antimicrobial amount of a water soluble cationic quaternary ammonium antimicrobial compound having the formula (R¹)(R²)(R³)(R⁴)N⁺X⁻ wherein R¹, R², R³, and R⁴ are independently benzyl, C₁₋₂₄ alkyl benzyl, halo benzyl, C₁₋₂₄ alkyl, or C₁₋₄ hydroxyalkyl, and X⁻ represents an anion

capable of imparting water solubility or dispersibility to the quaternary compound; and (iii) the balance of the composition, when formed as a liquid, is water. The composition may also include various optional components intended to enhance lubricity, antimicrobial efficacy, hard water tolerance, physical and/or chemical stability, etc. The antimicrobial lubricant composition of the invention is particularly well suited for lubricating and controlling microbial populations on the load bearing surfaces and drive chains of conveyor systems, particularly those used in the food processing, brewery and beverage industries.

Carboxylic Acids

A wide variety of carboxylic acids may be usefully employed in the antimicrobial lubricant compositions of the invention. Those acids found to provide effective lubricity are those having the general formula RCOOH wherein R represents an aliphatic group having from about 5 to about 23 carbon atoms (fatty acids having about 6 to 24 carbon atoms). For use in formulating the solid form of the composition the C_{8-24} fatty acids are preferred as they assist in solidification of the composition. The aliphatic group may be branched or unbranched and saturated or unsaturated but is preferably a straight chain alkyl group. Preferred carboxylic acids include the C_{10-18} fatty acids and mixtures thereof.

Referring to Tables One and Four, it appears that those antimicrobial lubricant compositions of the invention employing only lower fatty acids (less than about 14 carbon atoms) provide better antimicrobial action while those employing a higher fatty acid (greater than about 16 carbon atoms), either alone or in combination with a lower fatty

acid, provide better lubricity.

Specific examples of suitable carboxylic acids include such saturated fatty acids as enanthic (heptanoic) (C₇), caprylic (octanoic) (C₈), pelargonic (nonanoic) (C₉),
5 capric (decanoic) (C₁₀), undecylic (undecanoic) (C₁₁), lauric (dodecanoic) (C₁₂), trideclic (tridecanoic) (C₁₃), myristic (tetradecanoic) (C₁₄), palmitic (hexadecanoic) (C₁₆), stearic (octadecanoic) (C₁₈), arachidic (eicosanoic) (C₂₀), behenic (docosanoic) (C₂₂), and lignoceric
10 (tetracosanoic) (C₂₄); monounsaturated fatty acids such as lauroleic (C₁₂), myristoleic (C₁₄), palmitoleic (C₁₆), oleic (C₁₈), gadoleic (C₂₀), and brassidic (C₂₂); polyunsaturated fatty acids such as linoleic (di-unsaturated C₁₈), and linolenic (tri-unsaturated C₁₈); and substituted fatty
15 acids such as ricinoleic (hydroxy-substituted C₁₈), etc.

Mixed fatty acids may be employed in the antimicrobial lubricant composition of the invention such as those derived from fats and oils. Coconut oil fatty acids are particularly preferred in the antimicrobial lubricant
20 compositions of the invention because of their ready availability and superior lubricating properties. Coconut oil fatty acids include major fractions of lauric and myristic acids and minor fractions of palmitic, stearic, oleic and linoleic acids. Tall oil fatty acids, obtained
25 as a byproduct of the paper industry from the tall oil recovered from pine wood black liquor, are also preferred fatty acids for use in the antimicrobial lubricant composition of the invention. Tall oil fatty acids include
30 major fractions of oleic and linoleic acids and minor fractions of palmitic, stearic, and isostearic acids.

Cationic Antimicrobial Compounds

The cationic antimicrobial compound used in the antimicrobial lubricant compositions of the invention contributes effective antimicrobial or germicidal action to the composition by reducing microbe populations. Generally, the cationic antimicrobial compound should be susceptible to dissolution or dispersion in an aqueous medium without significant degradation, precipitation, and/or phase separation over extended periods of time when used in the composition.

A wide variety of effective cationic antimicrobial compounds may be incorporated into the antimicrobial lubricant composition of the invention without inducing undesirable physical or chemical interactions between the major components of the composition. The preferred antimicrobial compounds are the highly effective quaternary ammonium compounds having the formula $(R^1)(R^2)(R^3)(R^4)N^+X^-$ wherein R^1 , R^2 , R^3 , and R^4 are independently a C_{1-24} aliphatic group, a C_{1-4} hydroxyaliphatic group, benzyl, C_{1-24} alkyl benzyl, or halo benzyl, and X^- represents an anion capable of imparting water solubility or dispersibility to the compound such as chloride, bromide, iodide, sulfate, methylsulfate, and others. This anion is linked to the nitrogen through an electrovalent bond.

The hydrocarbon substituents R^1 , R^2 , R^3 , and R^4 may be alike or different, substituted or unsubstituted, branched or unbranched, and saturated or unsaturated. In somewhat greater detail, the hydrocarbon substituents R^1 , R^2 , R^3 , and R^4 may be independently selected from hydrocarbon groups including specifically, but not exclusively: lower alkyl groups such as methyl, ethyl, propyl and butyl; higher alkyl groups such as pentyl, hexyl, heptyl, 2-ethylhexyl,

octyl, isooctyl, nonyl, decyl, undecyl, dodecyl, tetradecyl, and eicosyl; substituted lower alkyl groups such as hydroxyethyl and hydroxypropyl; lower alkenyl groups such as ethenyl, propenyl, and butenyl; lower alkynyl groups such as ethynyl, propynyl, and butynyl; cycloalkyl groups such as cyclohexyl; aryl groups such as benzyl, phenyl and naphthyl; and aralkyl/alkaryl groups such as tolyl, xylyl, alkyl substituted benzyl, and alkyl naphthyl.

10 Several theories have been proposed to explain the mechanism by which the quaternary ammonium compounds are able to deactivate microorganisms such as bacteria. One theory suggests that the bactericidal effect is achieved because of the ability of quaternary ammonium compounds to
15 chemically disrupt continuity of the cell walls of the microorganism and thereby cause a release of the cell contents into the surrounding medium. A second theory suggests that quaternary ammonium compounds interact with the cell walls of the microorganism and interfere with the
20 metabolic processes of the organism so as to starve the microorganism. Whatever the exact mechanism, experience suggests that the antimicrobial action is closely related to the surface activity of the quaternary ammonium compound.

25 It is a well recognized principle that the surface activity of a compound in an aqueous environment is effected by the presence of both a hydrophilic and a hydrophobic moiety on the compound. Since quaternary ammonium compounds are inherently hydrophilic in nature due
30 to their cationic structure, the amphipathy characteristic of the compound must be achieved by providing at least one

pendant hydrocarbon group which is effective for providing a hydrophobic group on the compound.

While several factors can affect the overall antimicrobial performance of the quaternary ammonium compound such as the other components present in the antimicrobial lubricant composition and the particular microbes present, optimum antimicrobial activity appears to occur when the hydrocarbon substituents on the quaternary ammonium compound contain about 16 carbon atoms.

Generally speaking, completely aliphatic quaternary ammonium compounds appear to provide optimal antimicrobial activity when the largest aliphatic group is a straight chain C_{16-18} group and benzyl quaternary ammonium compounds appear to provide optimal antimicrobial activity when the largest aliphatic group is a straight chain C_{14} group.

A large variety of surface active quaternary ammonium salts are useful as the antimicrobial compound in the antimicrobial lubricant compositions of the invention including the commonly available tetraalkyl quaternary ammonium chlorides, trialkyl benzyl quaternary ammonium chlorides and trialkyl alkylbenzyl quaternary ammonium chlorides all having a largest aliphatic group having about 12 to about 16 carbon atoms. Neat concentrations of these quaternary ammonium chlorides are generally viscous liquids but usually sold as aqueous solutions.

Preferred quaternary ammonium salts which can be used as the antimicrobial compound in the antimicrobial lubricant compositions of the invention include specifically, but not exclusively, (C_{8-24}) alkyl-trimethyl quaternary ammonium salts such as hexadecyl-trimethyl quaternary ammonium chloride and octadecyl-trimethyl quaternary ammonium chloride; (C_{8-24}) dialkyl dimethyl

quaternary ammonium compounds such as didecyl-dimethyl quaternary ammonium chloride; alkyl-aryl quaternary ammonium salts such as (C₈₋₂₄)alkyl-dimethyl-benzyl quaternary ammonium chloride, (C₈₋₂₄)alkyl-dimethyl-benzalkonium chloride, and dimethyl-dichlorobenzyl quaternary ammonium chloride; and various others such as hexadecyl-pyridinium chloride, benzethonium chloride and methylbenzethonium chloride.

Highly preferred quaternary ammonium compound for use in the antimicrobial lubricant compositions of the invention are the (C₈₋₂₄)alkyl-dimethyl-benzyl quaternary ammonium chlorides having the general formula:



wherein R¹ is a C₆₋₂₄ alkyl.

Particularly preferred is a mixture of (C₈₋₁₈)alkyl-dimethyl-benzyl quaternary ammonium chlorides having predominately (i.e. more than 50 mole %) C₁₂ alkyl groups.

Other Components

Water

When the antimicrobial lubricant composition of the invention is formulated as a liquid the composition includes a major portion of water in addition to the fatty acid and quaternary ammonium compound.

Alkaline Source

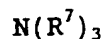
The antimicrobial lubricant composition includes a source of alkalinity sufficient to increase the pH of the composition, and any use solution prepared from the composition, above about 8. At pHs of less than about 8 the carboxylic acid component of the composition tends to separate from the other components and form soap curds,

particularly when dispensed into hard water. In addition, the antimicrobial efficiency of the quaternary ammonium compounds generally increases with increasing pH.

The source of alkalinity may be conveniently selected from any compatible alkaline compound. A nonexhaustive list of suitable sources of alkalinity includes ammonia and ammonium hydroxide; alkali metal hydroxides such as sodium hydroxide and potassium hydroxide; amino compounds such as monoethanolamine, diethanolamine, and triethanolamine; and alkali metal silicates such as sodium metasilicate and sodium orthosilicate. Based upon compatibility with the other components, ability to perform as an effective source of alkalinity, and ability to enhance the lubricating property of the composition, the alkaline source of preference for use in the antimicrobial lubricant composition is triethanolamine.

Amine

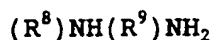
We have surprisingly discovered that the inclusion of an amine compound into the antimicrobial lubricant compositions of the invention can significantly enhance both the antimicrobial and lubricating properties of the compositions (See Table Four). Suitable amines include specifically, but not exclusively, those having the general formula:



wherein R_7 can be hydrogen, a C_{1-20} aliphatic group, an aryl group, an alkaryl group, and various halo, nitro, sulfo, and hydroxyl substituted forms thereof. Representative examples of suitable amines include methyl amine, dimethyl amine, ethylene amine, diethylene amine, aniline, chloroaniline, morpholine, pyridine, 2-ethylhexyl amine,

didodecyl amine, hydroxyethyl amine, dihydroxyethyl amine, trimethyl amine, diethyl methyl amine, dodecyl dimethyl amine, di(aminoethyl) dodecyl amine, etc.

Preferred amine compounds for use in the invention are
5 diamines (secondary amines containing one amine substituent) having the general formula:



wherein R^8 is a C_{8-24} aliphatic group and R^9 is a C_{1-20} alkylene group. Most preferably, R_8 is a C_{12-20} alkyl group
10 and R_9 is a C_{1-5} alkylene. Examples of useful diamines represented by the general formula $(R^8)NH(CH_2)_3NH_2$ wherein R^8 is a C_{10-24} aliphatic group includes N-coco-alkyl-trimethylene diamine, N-oleyl-alkyl-trimethylene diamine, N-tallow-alkyl-trimethylene diamine, etc.

15

Nonionic Surfactants

The antimicrobial lubricant compositions of the invention optionally, but preferably, may further include a compatible material for enhancing the lubricity of the
20 composition, such as a nonionic surfactant.

Nonionic surfactants are generally hydrophobic compounds which bear essentially no charge and exhibit a degree of hydrophilic tendency due to the presence of ether oxygen in the molecule. Nonionic surfactants encompass a
25 wide variety of polymeric compounds which include specifically, but not exclusively, ethoxylated alkylphenols, ethoxylated aliphatic alcohols, carboxylic esters, carboxylic amides, and polyoxyalkylene oxide block copolymers.

30 Particularly suitable nonionic surfactants for use in the antimicrobial lubricant composition of the invention are those having the general formula



wherein R^5 is an alkyl, aryl or alkaryl group having from about 8 to about 24 carbon atoms; B represents an oxy(C_{2-4})alkylene group (-O-alkylene-); R^6 is hydrogen, a C_{1-4} alkyl group, or an aryl group; and n is a number from 1 to 20 which represents the average number of oxyalkylene groups on the molecule.

Preferred nonionic surfactants of this formula include specifically, but not exclusively, polyalkylene oxide alkoxyates such as an alkyl propoxyate; ethoxylated alcohols such as octyl alcohol ethoxyate, decyl alcohol ethoxyate, dodecyl alcohol ethoxyate, tetradecyl alcohol ethoxyate, and hexadecyl alcohol ethoxyate; and alkoxyates of oxo alcohols having from about 9 to 17 carbon atoms. Based upon their ability to enhance the lubricity and cleansing effect of the antimicrobial lubricant composition at a reasonable cost, a particularly preferred group of nonionic surfactants are nonylphenol ethoxyates (NPE) having an average of about 5 to 10 moles of ethylene oxide per molecule.

Sequestrant

The antimicrobial compositions of the invention may also optionally contain a sequestrant for the purpose of complexing or chelating hardness components in the service water into which the antimicrobial lubricant composition is dispensed. Sequestrants are reagents that combine with metal ions to produce soluble complexes or chelate compounds. The most common and widely used sequestrants are those that coordinate metal ions through oxygen and/or nitrogen donor atoms. The sequestrant used in the

antimicrobial lubricant composition of the invention may be organic or inorganic so long as it is compatible with the other components of the composition. Based upon availability and overall compatibility with the other
5 components, the preferred sequestrant is ethylenediamine tetraacetic acid.

Alcohol

The novel antimicrobial lubricant compositions of the invention may also contain a (C₁₋₁₀) alcohol having about 1-
10 5 hydroxy groups for the purpose of enhancing the physical stability, wettability, and antimicrobial activity of the composition. A nonexhaustive list of suitable alcohols include methanol, ethanol, isopropanol, t-butanol, ethylene
15 glycol, propylene glycol, hexylene glycol, glycerine, low molecular weight polyethylene glycol compounds, and the like.

Other Components

20 In addition to the above mentioned components, the antimicrobial lubricating compositions of the invention may also contain those components conventionally employed in conveyor lubricant compositions, which are compatible in the composition, to achieve specified characteristics such
25 as anti-foam additives, viscosity control agents, perfumes, dyes, corrosion protection agents, etc.

Concentrations

Broadly, the solid and liquid forms of the concentrated
30 antimicrobial lubricant compositions of the invention should include about 5 to 40 wt-% lubricating carboxylic acid and about 5 to 20 wt-% antimicrobial quaternary

ammonium compound. More specifically, the liquid form should include about 5 to 30 wt-% lubricating carboxylic acid and about 5 to 15 wt-% antimicrobial quaternary ammonium compound in an aqueous base while the solid form
5 should include about 25 to 40 wt-% lubricating carboxylic acid and about 7 to 15 wt-% antimicrobial quaternary ammonium compound.

A preferred liquid concentrate of the antimicrobial lubricant composition of the invention includes about 5-30
10 wt-% coconut oil fatty acids, about 0-15 wt-% (most preferably about 0.1-10 wt-%) tall oil fatty acids, about 5-15 wt-% of a tetra-alkyl quaternary ammonium chloride, a sufficient amount of a source of alkalinity to produce a pH of greater than about 8.5 (generally about 0-15 wt-%),
15 about 0-25 wt-% (most preferably 0.1-16 wt-%) of a hydroxyalkyl amine, about 0-15 wt-% (most preferably about 0.1-10 wt-%) of a nonionic surfactant, about 0-25 wt-% (most preferably about 0.1-15 wt-%) EDTA, about 0-15 wt-% (most preferably 0.1-10 wt-%) of a C₁₋₁₀ alcohol, and the
20 balance water.

A preferred solid concentrate of the antimicrobial lubricant composition of the invention includes about 5-40 wt-% coconut oil fatty acids, about 0-15 wt-% (most preferably about 0.1-10 wt-%) tall oil fatty acids, about
25 5-15 wt-% of a tetra-alkyl quaternary ammonium chloride, a sufficient amount of a source of alkalinity to produce a pH of greater than about 8.5 (generally about 0-20 wt-%), about 0-25 wt-% (most preferably 0.1-15 wt-%) of a hydroxyalkyl amine, about 0-15 wt-% (most preferably about
30 0.1-10 wt-%) of a nonionic surfactant, about 0-25 wt-% (most preferably about 0.1-15 wt-%) EDTA, and about 0-15 wt-% (most preferably 0.1-10 wt-%) of a C₁₋₁₀ alcohol.

The liquid and solid forms of the antimicrobial lubricant compositions of the invention are conveniently dispensed by diluting a portion of the composition immediately prior to use with sufficient water to form a use solution which may then be sprayed upon the surface to be lubricated.

The liquid form of the concentrated antimicrobial lubricant composition may be conveniently formed by mixing the water and carboxylic acid to form a lubricating premix and then adding the cationic antimicrobial compound to the lubricant premix. The other components may be added at any convenient stage of the processes.

The solid form of the concentrated antimicrobial lubricant composition may be conveniently formed by mixing the carboxylic acid and cationic antimicrobial compound under constant agitation and sufficient heat (if necessary) to form a liquid mixture and then incorporating the other components, still under constant agitation and sufficient heat to maintain liquidity (if necessary). Upon cessation of agitation and cooling the resultant mixture solidifies into a water soluble block of antimicrobial lubricant.

The antimicrobial lubricant compositions of the invention may be applied to the load bearing surface of a conveyor system by any of the well recognized methods for such application including the most commonly utilized and widely accepted practice of spraying the lubricant onto the moving conveyor surface. However, prior to dispensing the antimicrobial lubricant compositions of the invention onto the conveyor system, the composition must be diluted to use strength. The diluted antimicrobial lubricant use solution should contain about 100 to 2000 ppm (w/v), preferably about 200 to 1000 ppm (w/v), active antimicrobial lubricant

components wherein the active components of the antimicrobial lubricant composition includes all of those components which contribute to the antimicrobial and/or lubricating efficacy of the composition, specifically

5 excluding any water contained in the composition.

Specifically, the diluted antimicrobial lubricant use solution should contain about 100-1000 ppm (w/v) fatty acid, (most preferably about 100-1000 ppm (w/v) coconut oil fatty acids and/or about 30-200 ppm (w/v) tall oil fatty

10 acids), about 200-1000 ppm (w/v) of a tetra-alkyl quaternary ammonium chloride, about 50-350 ppm (w/v) of a nonionic surfactant, about 30-200 ppm (w/v) of a sequestrant, about 30-200 ppm (w/v) of an amine, and about 50-350 ppm (w/v) of an alcohol.

15 This description is provided to aid in a complete nonlimiting understanding of the invention. Since many variations of the invention may be made without departing from the spirit and scope of the invention, the breadth of the invention resides in the claims hereinafter appended.

ExamplesCompositionsExample 1

A liquid antimicrobial lubricant was made by mixing the following ingredients in the order listed below.

<u>Ingredient</u>	<u>Weight %</u>
Water	13.00
Na ₄ •EDTA (40% aqueous)	8.00
Coconut fatty acid	15.00
Triethanol amine	21.00
C ₁₀₋₁₆ alkyl-dimethyl-benzyl ammonium chloride (50% A _q)	20.00
Hexylene glycol	5.00
Nonyl Phenol Ethoxylate (avg of 9.5 moles EO)	5.00
coco-trimethylene alkyldiamine	3.00
Potassium hydroxide (45% w/v aqueous)	7.00
Tall oil fatty acid	3.00

Example 2

A solid antimicrobial lubricant was made by mixing the following ingredients in the order listed below.

<u>Ingredient</u>	<u>Weight %</u>
Coconut fatty acid	32.00
Propylene glycol	3.00
Nonyl Phenol Ethoxylate (avg of 9.5 moles EO)	10.00
Triethanol amine	16.00
C ₁₀₋₁₆ alkyl-dimethyl-benzyl ammonium chloride (50% A _q)	22.00
Na ₄ •EDTA (powdered)	3.00
Sodium Hydroxide (50% w/v aqueous)	14.00

The mixture was conducive to processing and resulted in a rigid solid. An aqueous solution containing 0.5 wt% of the resultant composition had a pH of 10.58.

Antimicrobial and Lubricity Performance

Testing Procedure Antimicrobial Activity

Lubricant use solutions containing 0.5 wt% of the lubricant compositions having the component concentrations listed in the following Tables were prepared with sterile distilled water. One milliliter of the inoculum, prepared as set forth below, was combined with ninety-nine milliliters of the lubricant solution and swirled for 20 seconds. A one milliliter sample of the lubricant solution/inoculum mixture was removed after a 5 minute exposure time and added to nine milliliters of a sterile neutralizer solution containing asolectin and polysorbate 80 (a polyoxyethylene fatty acid ester). The neutralized sample was serially diluted with buffered water and plated in duplicate using tryptone glucose extract (TGE) agar. The procedure was repeated after fifteen, thirty, sixty, and two hundred forty minute exposure times. The plates were incubated at 37°C for 48 hours.

Controls to determine initial inoculum were prepared by adding one milliliter of inoculum to ninety-nine milliliters of

buffered water, serially diluting the mixture with additional buffered water, and plating with TGE.

**BACTERIAL
INOCULUM:**

The bacteria listed below were transferred and maintained on nutrient agar slants. Twenty-four hours prior to testing ten milliliters of nutrient broth was inoculated with a loopful of each organism, one tube per organism. The inoculated nutrient broth cultures were incubated at 37°C. Shortly before testing equal volumes of each incubated broth culture were mixed and used as the test inoculum.

ORGANISMS:

Pseudomonas aeruginosa ATCC 15442
Staphylococcus aureus ATCC 6538
Escherichia coli ATCC 11229
Enterobacter aerogenes ATCC 13048

Testing Procedure
Lubricity

A string of six one-liter glass bottles weighing an average of about 1.44 kilograms were placed upon a chain-type conveyor system having a stainless steel load bearing surface and connected to a load cell. The lubricant composition to be tested was diluted with service water to a use concentration of 0.1 wt% and the pH of the use solution adjusted as desired by adding acetic acid or sodium hydroxide as necessary. The conveyor was operated at full speed (about 120 ft/min), the load bearing surface of the conveyor sprayed with the lubricant use solution at a rate of about 2,000 ml/hr, and the output of the load cell sampled and recorded every second by a computer. Lubricity was measured in terms of the tension generated by the bottles on the load cell.

Table One

Examples 3-5

<u>Trial#</u>	<u>C₁₂ %</u>	<u>C₁₈ %</u>	<u>Quat %</u>	<u>Ratio C₁₈/Quat</u>	<u>Log Reduction</u>		
					<u>30 min</u>	<u>60 min</u>	<u>240min</u>
3	10	05	10	0.50	1.0	1.7	2.6
4	10	03	10	0.30	3.6	5.0	5.0
5	10	00	10	0.00	5.0	5.0	5.0

C₁₂ = a twelve carbon fatty acid

C₁₈ = an eighteen carbon fatty acid

Quat = C₁₀₋₁₆ alkyl-dimethyl-benzyl ammonium chloride

Conclusion(s):

The combination of a C₁₂ fatty acid and a quaternary ammonium compound provides effective sanitization after only 30 minutes. Inclusion of a C₁₈ fatty acid into the composition reduces antimicrobial activity in proportion to the amount of the C₁₈ fatty acid employed.

Table Two

Examples 6-12

<u>Trial#</u>	<u>C₁₂ %</u>	<u>Quat %</u>	<u>Ratio C₁₂/Quat</u>	<u>30 min</u>	<u>Log Reduction 60 min</u>	<u>240 min</u>
6	12	13	0.92	3.9	4.6	5.0
7	14	13	1.08	2.2	2.7	4.3
8	16	13	1.23	1.9	2.3	3.1
9	18	13	1.38	2.1	2.3	2.8
10	20	28	0.71	5.0	5.0	5.0
11	15	20	0.75	5.0	5.0	5.0

C₁₂ = a twelve carbon fatty acid

Quat = C₁₀₋₁₆ alkyl-dimethyl-benzyl ammonium chloride

Conclusion(s):

The ratio of fatty acid to quaternary ammonium chloride significantly affects antimicrobial efficacy with antimicrobial efficacy decreasing as the ratio of fatty acid to quaternary ammonium chloride increased.

Table Three

Examples 12-14

<u>Trial#</u>	<u>Quat¹</u>	<u>Quat²</u>	<u>Quat³</u>	<u>Log Reduction</u>		
				<u>30 min</u>	<u>60 min</u>	<u>240 min</u>
12	500ppm	--	--	4.6	4.5	5.0
13	--	500ppm	--	5.0	5.0	5.0
14	--	--	500ppm	5.0	5.0	5.0

Quat¹ = C₁₂₋₁₆ alkyl-dimethyl-benzyl ammonium chloride
(40% C₁₂, 50% C₁₄, 10% C₁₆)

Quat² = dodecyl-dimethyl ammonium chloride

Quat³ = C₁₂₋₁₆ alkyl-dimethyl-benzalkonium chloride
(64% C₁₂, 30% C₁₄, 06% C₁₆)

Conclusion(s):

Significant antimicrobial activity can be achieved with a variety of quaternary compounds.

Table Four

Examples 15-21

Trial #	C ₁₂ %	C ₁₈ %	Quat %	Amine ¹ %	Amine ² %	Lbrcty (grams)	30 min	Log Reduction 60 min	240 min
15	15	--	20	--	--	--	5.0	5.0	5.0
16	15	03	20	--	--	--	1.7	1.9	2.2
17	15	03	20	03	--	--	3.3	4.1	5.0
18	15	--	10	--	--	1680	5.0	5.0	5.0
19	15	--	10	--	03	1317	5.0	5.0	5.0
20	15	03	10	--	--	1362	1.7	1.9	2.2
21	15	03	10	--	03	1044	4.1	5.0	5.0

C₁₂ = a twelve carbon fatty acid
 C₁₈ = an eighteen carbon fatty acid
 Quat = C₁₀₋₁₆ alkyl-dimethyl-benzyl ammonium chloride
 Amine¹ = coco alkyl trimethylene diamine
 Amine² = coco propylenediamine

Conclusion(s)

Inclusion of a fatty acid aliphatic diamine into the lubricating composition enhances both lubricity and antimicrobial efficacy regardless of the presence or absence of a C₁₈ fatty acid. The combination of a C₁₂ fatty acid, a quaternary ammonium chloride, a C₁₈ fatty acid, and a fatty acid aliphatic diamine provides the best combination of lubricity and antimicrobial efficacy.

The foregoing discussion and examples are illustrative of the invention. However, since many embodiments of the invention can be made without departing from the spirit and scope of the invention, the invention resides wholly in the claims hereinafter appended.

We claim:

1. A liquid alkaline concentrate which forms an aqueous antimicrobial lubricant composition upon dilution, said concentrate comprising:

5 (a) an effective lubricating amount of a C_{6-24} aliphatic monocarboxylic acid;

(b) an effective antimicrobial amount of a water soluble quaternary ammonium salt having the formula

10 $(R^1)(R^2)(R^3)(R^4)N^+ X^-$

wherein (-) R^1 , R^2 , R^3 , and R^4 are independently selected from the group consisting of C_{1-16} alkyl, C_{1-4} hydroxyalkyl, benzyl, C_{1-24} alkyl benzyl, and halo
15 benzyl, and (-) X^- is an anion capable of imparting water solubility or water dispersibility to the quaternary ammonium salt;

(c) an amount of a source of alkalinity effective for neutralizing the monocarboxylic acid and increase
20 the pH of the concentrate above about 8; and
(d) a balance of water.

2. The concentrate of claim 1 wherein said monocarboxylic acid is a mixture of at least two C_{10-18} fatty
25 acids.

3. The concentrate of claim 2 wherein said mixture of fatty acids comprises coconut oil fatty acids or tall oil fatty acids.

30

4. The concentrate of claim 2 wherein at least one of said C_{10-18} fatty acids is a C_{10-12} fatty acid.

5. The concentrate of claim 2 wherein said mixture of fatty acids includes at least one C₁₀₋₁₂ fatty acid and at least one C₁₆₋₁₈ fatty acid.

5

6. The concentrate of claim 1 wherein said monocarboxylic acid comprises about 5 to 40 wt-% of said concentrate.

10

7. The concentrate of claim 1 wherein said quaternary ammonium salt is a C₁₀₋₁₆ alkyl-dimethyl-benzyl quaternary ammonium chloride.

8. The concentrate of claim 1 wherein said quaternary ammonium salt comprises about 5 to 15 wt-% of said concentrate.

20

9. The concentrate of claim 1 wherein said source of alkalinity is triethanolamine.

10. A liquid alkaline concentrate which forms an aqueous antimicrobial lubricant composition for the load bearing surface of a conveyor system upon dilution with water, said concentrate comprising:

25

- (a) about 5-30 wt-% of a C₆₋₂₄ fatty acid;
- (b) about 5-15 wt-% of a quaternary ammonium chloride;
- (c) about 0.1-10 wt-% of an amine;
- (d) about 0.1-25 wt-% of EDTA; and
- (e) the balance water.

30

11. The concentrate of claim 10 further comprising an amount of a source of alkalinity effective for neutralizing the fatty acid and increasing the pH of the concentrate above about 8.

5

12. The concentrate of claim 10 wherein said C₆₋₂₄ fatty acid is a C₁₀₋₁₂ fatty acid.

13. The concentrate of claim 10 wherein said C₆₋₂₄ fatty acid is a mixture of at least two C₁₀₋₁₈ fatty acids.

10

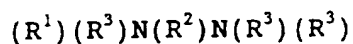
14. The concentrate of claim 13 wherein said mixture of at least two C₁₀₋₁₈ fatty acids includes at least one C₁₀₋₁₂ fatty acid and at least one C₁₆₋₁₈ fatty acid.

15

15. The lubricant composition of claim 10 wherein said quaternary ammonium chloride comprises a C₁₀₋₁₆ alkyl-dimethyl-benzyl quaternary ammonium chloride.

20

16. The lubricant composition of claim 10 wherein said amine comprises a diamine of the formula



wherein: R¹ is a C₈₋₂₄ aliphatic group,

R² is a C₁₋₅ alkylene group, and

25

R³ is a C₁₋₂₀ aliphatic group or hydrogen.

17. The lubricant composition of claim 16 wherein said diamine comprises (R¹)NH(CH₂CH₂CH₂)NH₂ wherein R¹ is a C₁₂₋₂₀ alkyl group.

30

18. The lubricant of claim 16 wherein said sequestrant comprises ethylene diamine tetraacetic acid or sodium salt thereof.

5 19. A process for lubricating and reducing microbiological concentrations on the load bearing surface of a conveyor system comprising the steps of:

10 (a) dispersing an alkaline concentrate of an antimicrobial and lubricating composition into sufficient water to form an aqueous antimicrobial lubricating solution, wherein (i) said antimicrobial lubricating concentrate comprises at least a C_{8-20} fatty acid and a water soluble quaternary ammonium compound, and (ii) said antimicrobial lubricating solution
15 comprises at least about 100-2000 ppm (w/v) of at least one C_{8-20} fatty acid, about 200-1000 ppm (w/v) of a water soluble quaternary ammonium salt, and a balance of water; and

20 (b) placing said antimicrobial lubricating solution onto the load bearing surface of an operating conveyor system for a period of time effective to lubricate and reduce microbial populations on the load bearing surface.

25 20. The process of claim 19, wherein the lubricant has a pH of greater than 8.

30 21. The process of claim 19, wherein said concentrate includes a mixture of C_{10-18} fatty acids.

22. The process of claim 21 wherein said mixture of fatty acids comprises coconut oil fatty acids or tall oil fatty acids.

5 23. The process of claim 19 wherein said quaternary ammonium salt comprises a tetra-alkyl quaternary ammonium chloride.

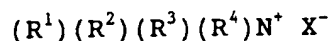
10 24. The process of claim 19 wherein said quaternary ammonium chloride comprises a C₁₀₋₁₆ alkyl-dimethyl-benzyl quaternary ammonium chloride.

15 25. A solid alkaline concentrate which forms an aqueous antimicrobial lubricant composition upon dilution, said concentrate comprising:

(a) an effective lubricating amount of a C₈₋₂₄ fatty acid;

(b) an effective antimicrobial amount of a water soluble quaternary ammonium salt having the formula

20



25 wherein (-) R¹, R², R³, and R⁴ are independently selected from the group consisting of C₁₋₁₆ alkyl, C₁₋₄ hydroxyalkyl, benzyl, alkyl benzyl, and halo benzyl, and (-) X⁻ is an anion capable of imparting water solubility or water dispersibility to the quaternary ammonium salt;

30 (c) an amount of a source of alkalinity effective for neutralizing the monocarboxylic acid and increasing the pH of the concentrate above about 8.

26. The concentrate of claim 25 wherein said monocarboxylic acid is a mixture of at least two C₁₀₋₁₈ fatty acids.

5 27. The concentrate of claim 26 wherein said mixture of fatty acids comprises coconut oil fatty acids or tall oil fatty acids.

10 28. The concentrate of claim 26 wherein at least one of said C₁₀₋₁₈ fatty acids is a C₁₀₋₁₂ fatty acid.

15 29. The concentrate of claim 26 wherein said mixture of fatty acids includes at least one C₁₀₋₁₂ fatty acid and at least one C₁₆₋₁₈ fatty acid.

30. The concentrate of claim 25 wherein said monocarboxylic acid comprises about 5 to 40 wt-% of said concentrate.

20 31. The concentrate of claim 25 wherein said quaternary ammonium salt is a C₁₀₋₁₆ alkyl-dimethyl-benzyl quaternary ammonium chloride.

25 32. The concentrate of claim 25 wherein said quaternary ammonium salt comprises about 5 to 15 wt-% of said concentrate.

30 33. The concentrate of claim 25 wherein said source of alkalinity is triethanolamine.

34. A solid alkaline concentrate which forms an aqueous antimicrobial lubricant composition for the load bearing surface of a conveyor system upon dilution with water, said concentrate comprising:

- 5 (a) about 25-40 wt-% of a C₈₋₂₄ fatty acid;
- (b) about 7-15 wt-% of a quaternary ammonium chloride;
- (c) about 0.1-15 wt-% of an N-alkyl-alkylene diamine; and
- 10 (d) about 0.1-25 wt-% of EDTA.

35. The concentrate of claim 34 further comprising an amount of a source of alkalinity effective for neutralizing the fatty acid and increasing the pH of the concentrate
15 above about 8.

36. The concentrate of claim 34 wherein said C₆₋₂₄ fatty acid is a mixture of at least two C₁₀₋₁₈ fatty acids.

20 37. The concentrate of claim 36 wherein said mixture of at least two C₁₀₋₁₈ fatty acids includes at least one C₁₀₋₁₂ fatty acid and at least one C₁₆₋₁₈ fatty acid.

38. The lubricant composition of claim 34 wherein said
25 quaternary ammonium chloride comprises a C₁₀₋₁₆ alkyl-dimethyl-benzyl quaternary ammonium chloride.

39. The lubricant composition of claim 34 wherein said
amine comprises a diamine of the formula
30 $(R^1)(R^3)N(R^2)N(R^3)(R^3)$

wherein: R^1 is a C_{8-24} aliphatic group,
 R^2 is a C_{1-5} alkylene group, and
 R^3 is a C_{1-20} aliphatic group or hydrogen.

5 40. The lubricant composition of claim 39 wherein said diamine comprises $(R^1)NH(CH_2CH_2CH_2)NH_2$ wherein R^1 is a C_{12-20} alkyl group.

10 41. A diluted antimicrobial lubricant solution for the load bearing surface of a conveyor system comprising:

- (a) about 100-1000 ppm (w/v) coconut oil fatty acids;
- (b) about 30-200 ppm (w/v) tall oil fatty acids;
- (c) about 200-1000 ppm (w/v) of a tetra-alkyl quaternary ammonium chloride;
- 15 (d) about 50-350 ppm (w/v) of a nonionic surfactant;
- (e) about 30-200 ppm (w/v) of a sequestrant;
- (f) about 30-200 ppm (w/v) of an amine; and
- 20 (g) about 50-350 ppm (w/v) of an alcohol.

42. The antimicrobial lubricant solution of claim 41 further comprising an amount of a source of alkalinity effective for neutralizing the fatty acid and increasing
25 the pH of the concentrate above about 8.

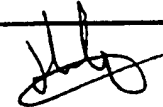
43. The antimicrobial lubricant solution of claim 41 wherein said tetra-alkyl quaternary ammonium chloride comprises a C_{10-15} alkyl-dimethyl-benzyl quaternary ammonium
30 chloride.

44. The antimicrobial lubricant solution of claim 42 wherein said nonionic surfactant comprises nonylphenol ethoxylate, said sequestrant comprises ethylene diamine tetraacetic acid, said amine comprises triethanol amine, 5 said alcohol comprises propylene glycol, and said source of alkalinity comprises triethanolamine.

INTERNATIONAL SEARCH REPORT

PCT/US 91/06995

International Application No

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) ⁶		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int.Cl. 5 C10M173/02; //(C10M173/02,125:10,129:08,129:40,129:60, 133:06,133:08,133:12,145:36)C10N30:16,40:00		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁷		
Classification System	Classification Symbols	
Int.Cl. 5	C10M	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched ⁸		
III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹		
Category [*]	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
X	WORLD PATENTS INDEX LATEST Week 9014, Derwent Publications Ltd., London, GB; AN 90-104332 & JP,A,2 055 794 (ASAHI CHEMICAL IND) 26 February 1990	1,10,11, 12,18, 19,25
Y	see abstract	2-7,9, 13-17, 21-24, 26-31, 33,34, 36-44
<p>--- -/-</p>		
<p>[*] Special categories of cited documents: ¹⁰</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
23 DECEMBER 1991	09. 01. 92	
International Searching Authority	Signature of Authorized Officer	
EUROPEAN PATENT OFFICE	HILGENGA K.J. 	

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.
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Y	EP,A,0 260 508 (AKZO N. V.) 23 March 1988 see column 3, line 43 - line 51 & US,A,4 839 067 (G. JANSEN) 13 June 1989 cited in the application ---	7,15,23, 24,31, 38,43 38
A	US,A,4 929 375 (C.E ROSSIO) 29 May 1990 see column 3, line 65 - line 68 ---	2-6, 13-14, 21,22, 26-30,37 36-37
Y	WO,A,9 010 053 (HENKEL KOMMANDITGESELLSCHAFT) 7 September 1990 see page 1, line 1 - line 19 see page 6, line 8 - line 17 see page 9, line 16 - line 20 see page 10, line 1 - line 4 ---	16,17, 34,39,40
A	EP,A,0 233 774 (DIVERSEY CORPORATION) 26 August 1987 see page 2, line 15 - page 3, line 25 ---	1-3,6-8, 10,13, 15,19, 21, 22-27, 30-32, 41-44
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P,A	EP,A,0 445 525 (SHEREX CHEM CO INC) 11 September 1991 see page 2, line 6 - line 10 see page 7, line 6 - line 40 ---	1,6,10, 25,30,34

**ANNEX TO THE INTERNATIONAL SEARCH REPORT
ON INTERNATIONAL PATENT APPLICATION NO. US 9106995
SA 52100**

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on
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EPO FORM P0079

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82